

**AUDIT COMMITTEE MEMBERS:**

Councillor Lesley Alexander (C)
Councillor Charlie Bolton (G)
Councillor Mark Brain (L)
Councillor Phil Hanby (L)
Councillor Barbara Janke (LD)
Councillor Olly Mead (L)
Councillor Jason Budd (I)
Ken Guy – Independent Member
Brenda McLennan – Independent Member

Reply to: Norman Cornthwaite**Tel. No:** (0117) 9222390**Fax No:** (0117) 9222146**Email:**

norman.cornthwaite@bristol.gov.uk

Date: 12th September 2014

(C = Conservative, G = Green, I = Independent, L = Labour, LD = Liberal Democrat)

Dear Member,

AUDIT COMMITTEE

You are invited to attend a meeting of the Audit Committee to be held on **Tuesday 23rd September 2014 at 6.00 pm** (please note date and start time) in a Committee Room at the City Hall (formerly the Council House), College Green, Bristol BS1 5TR.

The agenda for the meeting is set out overleaf.

Yours sincerely

Norman Cornthwaite
Democratic Services Officer

Legal Services

City Hall, College Green, Bristol
BS1 5TR

Sanjay Prashar

Interim Service Director,
Legal and Democratic
Services

Website

www.bristol.gov.uk

Public Information Sheet

The attention of the public is drawn to the sheet at the back of the agenda giving information on the emergency evacuation procedures, attending meetings and inspecting reports and background papers.

Agenda

1. Apologies for Absence, Substitutions and Introductions

2. Declarations of Interest

- to receive any declarations of interest from Members.

3. Minutes of the Audit Committee held on 11th July 2014 (page 9)

- to be confirmed as a correct record and signed by the Chair.

4. Public Forum

Time Limit for this item - 30 minutes

Any local resident or councillor, may present a petition or submit a statement on the work of the committee, provided that they have given notice in writing or by electronic mail not later than 12.00 noon on the working day before the meeting. In the case of a statement, a copy of the statement should be included.

The notice should be addressed to the Democratic Services Team, Room 220, City Hall formerly the Council House, Bristol, BS1 5TR, and marked for the attention of Norman Cornthwaite
norman.cornthwaite@bristol.gov.uk or
democratic.services@bristol.gov.uk

5. Action Sheet (page 13)

- to receive the action sheet updated from the previous meeting

6. Work Programme (page 15)

- to receive an updated work Programme

Members Conduct - Standards Item

- No report to consider. No complaints received this quarter alleging breach of the Code of Conduct for Members requiring consultation with the Independent Person.

7. Summary of Local Government Ombudsman (LGO) cases where the outcome was upheld and fault was found (page 19)

- To note

8. Internal Audit Periodic Report – Period 1 (page 43)

- To note and comment on the attached Internal Audit periodic update report which provides details of Internal Audit work for period 1st April to 31st July 2014.

9. Statement of Accounts (page 55)

- To approve the Statement of Accounts for the year ended 31 March 2014.

10. Treasury Management Quarter 1 (page 163)

- To note the contents of the Report.
- To note the changes to the Capital Programme detailed at paragraph 17.

11. Grant Thornton's Annual Report to those charged with Governance 2013-14 - ISA 260 Report (page 171)

- To note, and comment as appropriate, on Grant Thornton's Annual Report to those charged with Governance for 2013-14 and the action plan agreed by management
- To confirm that they are satisfied that the unadjusted misstatements included in the report do not require processing by management
- To confirm that the Letter of Representation for 2013-14 to Grant Thornton is signed

12. Value for Money Conclusion (page 215)

- To note, and comment as appropriate, on Grant Thornton's value for money reports for 2013/14

13. Bristol European Green Capital 2015 Governance (page 261)

- To note the governance arrangements in place regarding European Green Capital 2015

Information Items

14. Final Draft of Annual Governance Statement and Updated Code of Corporate Governance (page 269)

- To note the attached final draft of the Annual Governance Statement and the updated Code of Corporate Governance. These documents were presented to the Cabinet Member for Finance and Resources on 12th September 2014.

15. Treasury Management 2013/14 Annual Report (page 331)

- To note Treasury Management annual report for 2013/14
- To note that Council nominates the Audit Committee to be responsible for scrutiny of Treasury Management Strategy and activity.

Next Meeting

The next Meeting of the Committee is to be held on Friday 7th November 2014 at 9.30 am.

Emergency Evacuation Procedure

- (i) In the event of a **fire** you will hear a **continuous alarm**.
- (ii) **Do not panic** - members, officers and the public should leave the building promptly and in a quiet and orderly fashion using the nearest available escape routes and assemble **behind the Central Library** beyond the Norman Archway.
Lifts must not be used under any circumstances.

Please note: alarms are tested every Monday at 9.30am (for approx. 30 seconds). These arrangements apply to meetings held in the City Hall formerly the Council House, College Green. Where the meeting is held elsewhere, local arrangements will apply.

Public Access Information

Please contact the Democratic Services Officer named on the agenda if you require further information regarding the following:

Attendance at Meetings - Local Government (Access to Information Act 1985)

Committee meetings are open to the public and a limited amount of seating is available in each meeting room. You may however be asked to leave the meeting if any "exempt" (private) business is considered. This will normally be shown on the agenda.

Inspection of Papers - Local Government (Access to Information) Act 1985

If you wish to inspect the minutes or reports (other than those which are exempt) relating to any item on this agenda please contact either the Democratic Services Officer (phone number at the top of the agenda sheet) or the Modern Records Office (tel: 0117 9222376). The background papers listed in a report may also be inspected. Please notify the Democratic Services Officer if you wish to see these. He/she will arrange with the report author for papers to be made available to you at a mutually convenient time.

We can also arrange for copies of individual reports or minutes to be supplied to you or for an annual subscription to the papers for any meeting. A charge will be made for this service. Alternatively, all meeting information may be inspected on the council's internet website at:

www.bristol.gov.uk

Other formats and languages and assistance for those with hearing impairment

Committee papers can be provided in other formats (e.g. large print, audio tape, braille etc) or in community languages, upon request. Please contact the Democratic Services Officer if you would like such papers giving as much notice as possible. It should be noted that re-formatting or translation of papers before the date of a particular meeting cannot be guaranteed.

Committee rooms in the Council House are fitted with infra-red induction loops to assist people with hearing impairment. These can be used with either a neck loop (for hearing aid users) or with a handset. The Democratic Services Officer will be able to provide you with these. Hearing aid users need to switch the hearing aid to the "T" position.

Public Forum

Members of the public may make a **statement** or present a **petition** to any committee meeting, provided that:-

- (i) written notice is given to us, including the subject matter of your statement or petition no later than **12.00 noon on the working day before the meeting starts*** and
- (ii) the statement or petition concerns a matter which is the responsibility of the committee concerned.

(* NB: if the meeting is on a Monday then your submission will need to be with us by 12.00 noon latest on the preceding Friday).

You may also ask a **question** of the chair at a committee meeting. This must be submitted to us in writing not less than 3 clear working days before the date of the meeting. A written response will be available 1 hour before the meeting which will be circulated to all who are present and will be included subsequently, with the minutes of that meeting.

Statements and petitions which relate to specific items on the agenda will be taken into account by the meeting when it considers the item concerned.

Statements and petitions that do not relate to an item on the agenda for the meeting at which they are presented are discouraged. They may still be submitted but will not be discussed. The committee meeting will decide how these should be dealt with (eg by correspondence or by a future report etc).

The Chair of the meeting has discretion to take statements, petitions and questions in an appropriate order (ie not necessarily in order of receipt).

The meeting may enter a dialogue with members of the public **during the public forum** if appropriate. The public forum session may last for up to 30 minutes, although the Chair, with the consent of the meeting, can extend this timescale.

Please note that by participating in public forum business, it will be assumed that your consent is given to the recording of your name and the details of your submission in the documentation that is circulated to committee. This information will also be made available at the meeting to which it relates and placed in the official minute book as a public record (and is available for inspection upon request with the other documents for the meeting concerned).

Where appropriate, we will endeavour to remove other personal details such as contact details. However, because of time constraints we cannot guarantee this and you may therefore wish to consider if your statement contains information that you would prefer not to be in the public domain. Public Forum statements will not be posted on the Council's website.

Other committee papers may be placed on the Council's website and information contained within them may be searchable on the internet.

Please note that for copyright reasons, we are unable to reproduce or publish newspaper or magazine articles that may be attached to statements as supporting paperwork.

Process during and after the meeting:

Public forum items are normally the first substantive item of business on the agenda and are usually taken in the order in which they have been received. The Chair will call each submission in turn. When invited to speak, if making a statement you should ensure that your presentation is short and concise and focuses on the key issues that you would like members to consider. This will have the greatest impact. Please avoid reading out a lengthy text from a piece of paper. Remember that your time allocation may have to be strictly limited if there are a lot of submissions before the meeting.

You do not have to speak or even attend the meeting at which your public forum submission is being taken. However, you should be aware if you do not present it, then it will **not be read out** unless the Chair specifically asks for this to happen. It will nevertheless be noted by members.

As most people who participate in public forum remain present for the debate on the agenda item in which they are interested, and because minutes of the meeting are normally published on the Council's website in

5 clear working days, it is not our normal practice to write to individuals afterwards. However, if you would like to receive an official response as to what happened to your submission at the meeting, please advise the Democratic Services Officer named on the agenda, and ensure that they have your full address or e-mail details. We will then contact you within 7 working days. Alternatively you may phone them (see contact number on front of agenda) to confirm the outcome.

Please contact the Democratic Services Officer named on the agenda if you require any further information regarding the above.

Register of Interests

To ensure an accountable, open and transparent local democracy, a Register of Interests for Councillors and co-opted members is available for public inspection. Please contact the Democratic Services Officer named on the agenda if you wish to view the Register.

Recording of the Meeting

Members of the public attending meetings or taking part in Public forum are advised that all Full Council and Cabinet meetings and some other committee meetings are now filmed for live or subsequent broadcast via the council's webcasting pages. The whole of the meeting is filmed (except where there are confidential or exempt items) and the footage will be available for 2 years. If you ask a question or make a representation, then you are likely to be filmed and will be deemed to have given your consent to this. If you do not wish to be filmed you need to make yourself known to the webcasting staff. However, the Openness of Local Government Bodies Regulations 2014 now mean that persons attending meetings may take photographs, film and audio record the proceedings and report on the meeting. (Oral commentary is not permitted during the meeting as it would be disruptive) Members of the public should therefore be aware that they may be filmed by others attending and that is not within the Council's control.